

ALGEBRAIC STRUCTURE ON SPECIES

(A Categorical Approach)

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Species and Symmetric Sequences

[Joyal]

$$\text{Set}^{\mathbb{B}} \cong \text{Set}^{\mathbb{G}}$$

$\mathbb{B}$ = finite sets
and bijections

$$\mathbb{G} = \coprod_{n \in \mathbb{N}} \mathbb{G}_n$$

Important: $\mathbb{B}, \mathbb{G}$ freely generated by an object
with symmetric monoidal structure

Constructions on Species

[Joyal]

Sums

$$\left(\coprod_{i \in I} X_i \right) (S) = \sum_{i \in I} X_i(S)$$

Products

$$\left(\prod_{i \in I} X_i \right) (S) = \prod_{i \in I} X_i(S)$$

Representables

$$R: \mathcal{B} \hookrightarrow \mathbf{Set}^{\mathcal{B}}$$

$$R(n) =_{\text{def}} \mathcal{B}(n, -)$$

$$\frac{\text{Yoneda} \quad R(n) \rightarrow X}{X(n)}$$

Multiplication = Day Convolution

$$\begin{array}{ccc}
 \mathbb{B} \times \mathbb{B} & \xrightarrow{R \times R} & \text{Set}^{\mathbb{B}} \times \text{Set}^{\mathbb{B}} \\
 + \downarrow & \cong & \downarrow \bullet \\
 \mathbb{B} & \xrightarrow{R} & \text{Set}^{\mathbb{B}}
 \end{array}$$

universal separately
continuous extension

$$R(m) \cdot R(n) = R(m+n)$$

$$I = R0 = "1"$$

Formal construction (in the calculus of cends)

$$(X \cdot Y)(n) = \int^{n_1, n_2 \in \mathbb{B}} X(n_1) \times Y(n_2) \times \mathbb{B}(n_1 + n_2, n)$$

$$(x[\sigma_1], y[\sigma_2]) \otimes \sigma \approx (x, y) \otimes (\sigma_1 + \sigma_2) \sigma$$

Day Convolution generates closed structure

$$(-) \cdot X \dashv X \multimap (-)$$

$$R1 = "x"$$

$$\begin{array}{ccc} B & \xrightarrow{R} & \text{Set}^B \\ +1 \downarrow & & \downarrow (-) \cdot R1 \\ B & \xrightarrow{R} & \text{Set}^B \end{array}$$

Derivation

$$R1 \multimap (-) = \partial$$

[Joyal]

$$(\partial X)(n) = X(n+1)$$

Important: Derivation has left and right adjoints

$$(-) \cdot R1 \dashv R1 \multimap (-) = \partial \dashv S$$

$$\begin{array}{ccc} A^\circ & \hookrightarrow & \text{Set}^{A^\circ} \\ \downarrow & & \downarrow (-) \uparrow (-) \\ B^\circ & \hookrightarrow & \text{Set}^{B^\circ} \end{array}$$

Leibniz Rule

[Joyal]

$$\partial(X \cdot Y) \cong \partial(X) \cdot Y + X \cdot \partial(Y)$$

The canonical map

$$(R1 \multimap X) \cdot Y + X \cdot (R1 \multimap Y) \longrightarrow R1 \multimap (X \cdot Y)$$

is invertible

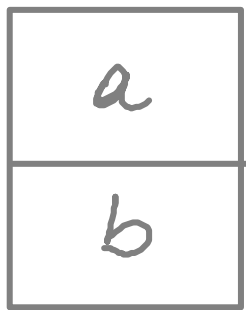
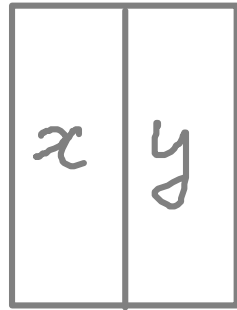
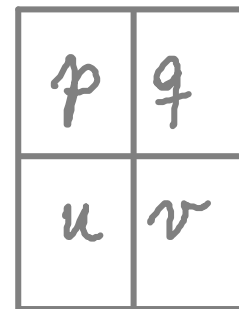
$$\partial(X \cdot Y)(n) \cong \int^{n_1, n_2 \in \mathbb{B}} X(n_1) * Y(n_2) * \mathbb{B}(n_1 + n_2, n+1)$$

encapsulates the
combinatorics
of Leibniz Rule

Combinatorial Riesz Refinement Property

$$\mathbb{B}(a+b, x+y)$$

$$\cong \int_{p,q,u,v \in \mathbb{B}} \mathbb{B}(a, p+q) \times \mathbb{B}(b, u+v) \\ \times \mathbb{B}(p+u, x) \times \mathbb{B}(q+v, y)$$


 $\cong$

 $\nexists$
 $\exists$


$$p+q \cong a$$

$$u+v \cong b$$

$$p+u \cong x$$

$$q+v \cong y$$

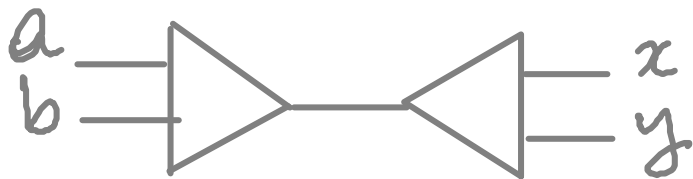
Combinatorial Riesz Refinement Property

$$\mathbb{B}(a+b, x+y)$$

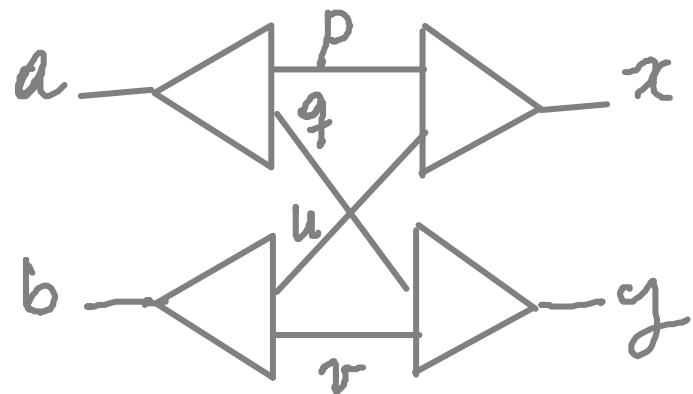
$$\cong \int^{p,q,u,v \in \mathbb{B}} \mathbb{B}(a, p+q) \times \mathbb{B}(b, u+v) \\ \times \mathbb{B}(p+u, x) \times \mathbb{B}(q+v, y)$$

Pictorially

Bialgebra Law



=



Combinatorial Riesz Refinement Property

$$\mathbb{B}(a+b, x+y)$$

$$\cong \int^{p,q,u,v \in \mathbb{B}} \mathbb{B}(a, p+q) \times \mathbb{B}(b, u+v) \\ \times \mathbb{B}(p+u, x) \times \mathbb{B}(q+v, y)$$

$$\mathbb{B}(a+b, 1) \equiv \mathbb{B}(a, 1) \times \mathbb{B}(b, 0) + \mathbb{B}(a, 0) \times \mathbb{B}(b, 1)$$

Combinatorial Equations

$$X \equiv F(X)$$

combinatorial construction

Example: $F(x) = R1 + x \cdot x$

describe algebraic structure

$$\neq G_{\text{Set } B}$$

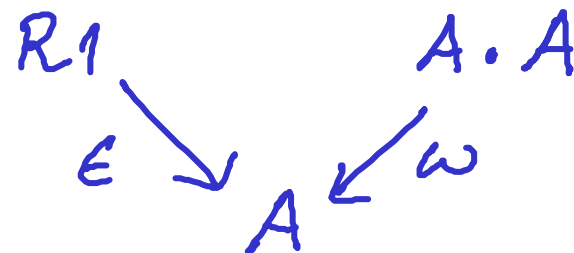
Algebra

$$A \in \text{Set}^B, F(A) \rightarrow A \text{ in } \underline{\text{Set}}^B$$

carrier structure map

Example: $F(X) = R1 + X \cdot X$

$R1 + A \cdot A \rightarrow A$ equivalent by



operations

$$\frac{}{x \vdash \epsilon_x}$$

$$\frac{x_1 \dots x_m \vdash s \quad y_1 \dots y_n \vdash t}{x_1 \dots x_m, y_1 \dots, y_n \vdash \omega(s, t)}$$

Homomorphisms

$F\text{-Alg}$

$$\begin{array}{ccc} FA & \xrightarrow{Fh} & FB \\ \alpha \downarrow & & \downarrow \beta \\ A & \xrightarrow{h} & B \end{array}$$

Lambek's Lemma

Initial algebras have invertible structure maps.

$$\text{carrier} = \mu F \text{ or } \mu X.F(X)$$

Notation:

$$\text{structure} = F(\mu F) \xrightarrow[\cong]{\varphi} \mu F$$

Structural Recursion

initiality

$$\begin{array}{ccc}
 F(\mu F) & \xrightarrow{F(\underline{it} \alpha)} & FA \\
 \varphi \downarrow \cong & & \downarrow \alpha \quad \forall \\
 \mu F & \xrightarrow[\exists!]{\underline{it}(\alpha)} & A
 \end{array}$$

The iterator $\underline{it}(\alpha)$ is the unique map satisfying the fixpoint equation:

$$\underline{it}(\alpha) = \alpha \circ F(\underline{it} \alpha) \circ \varphi^{-1}$$

Iterative Construction

NB: If F preserves colimits of ω -chains, initial F -algebras may be calculated iteratively

$$0 \rightarrow F0 \rightarrow F^2 0 \rightarrow \dots \rightarrow F^n 0 \rightarrow \dots$$

μ_F
colimit

Free Algebras

$$\begin{array}{c} F\text{-Alg} \\ \uparrow \downarrow \\ \text{Set}^{\mathcal{B}} \end{array}$$

$$\text{free } F\text{-algebra on } A = \mu(A + F(-))$$

Combinatorial Constructions

$$F(X) ::= X$$

$$| \coprod_i F_i(X)$$

$$\frac{\coprod_i F_i(A) \xrightarrow{\alpha} A}{\{ F_i(A) \xrightarrow{\alpha_i} A \}}$$

Combinatorial Constructions

$$F(X) ::= X$$

$$| \coprod_i F_i(X)$$

$$| F_1(X) \cdot \dots \cdot F_n(X)$$

$$F(A) \cdot G(A) \xrightarrow{\omega} A$$

$$\frac{m \vdash_F f \quad n \vdash_G g}{m+n \vdash \omega(f,g)}$$

Combinatorial Constructions

$$F(X) ::= X$$

$$| \coprod_i F_i(X)$$

$$| F_1(X) \cdot \dots \cdot F_n(X)$$

$$| F_1(X) \times \dots \times F_n(X)$$

$$F(A) \times G(A) \xrightarrow{\omega} A$$

$$\frac{k \vdash_F f \quad k \vdash_G g}{k \vdash \omega(f, g)}$$

Combinatorial Constructions

$$F(X) ::= X$$

$$| \coprod_i F_i(X)$$

$$| F_1(X) \cdot \dots \cdot F_n(X)$$

$$| F_1(X) \times \dots \times F_n(X)$$

$$| \partial(FX)$$

$$\partial(FA) \xrightarrow{\omega} A$$

Example:

$$\frac{x_1, \dots, x_n, y \vdash \phi(x_1, \dots, x_n, y)}{x_1, \dots, x_n \vdash \forall y. \phi(x_1, \dots, x_n, y)}$$

$$\frac{n+1 \vdash_F f}{n \vdash \omega(f)}$$

Importantly:

$$\begin{array}{ccc}
 F(A)(n+1) & \xrightarrow{\omega_n} & A(n) \\
 \downarrow F(A)(\pi+id) & & \downarrow A(\pi) \\
 F(A)(m+1) & \xrightarrow{\omega_m} & A(m)
 \end{array}$$

$$\begin{array}{c}
 n \\
 \cong \downarrow \pi \\
 m
 \end{array}$$

$$\omega_m(f[\pi+id]) = (\omega_n(f))[\pi]$$

$$\mathcal{O}(FA) \xrightarrow{\omega} A$$

Example:

$$\frac{x_1, \dots, x_n, y \vdash \phi(x_1, \dots, x_n, y)}{x_1, \dots, x_n \vdash \forall y. \phi(x_1, \dots, x_n, y)}$$

$$\frac{n+1 \vdash_F f}{n \vdash \omega(f)}$$

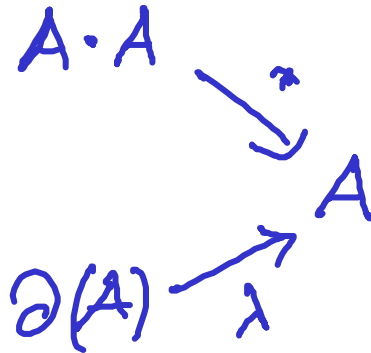
Paradigmatic Example

Linear λ -calculus

[Tanaka]

$$F(x) = x \cdot x + \partial(x)$$

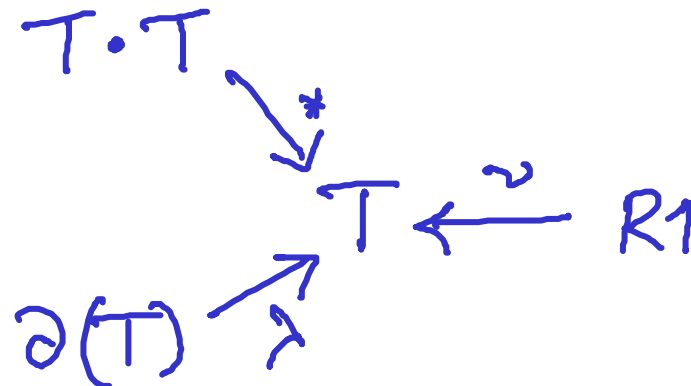
$$F(A) \rightarrow A \equiv$$



$$\frac{m \vdash s \quad n \vdash t}{m+n \vdash s * t}$$

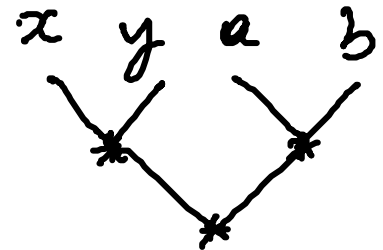
$$\frac{n+1 \vdash t}{n \vdash \lambda(t)}$$

Free F-algebra on $R1 = T = \mu(R1 + F(-))$



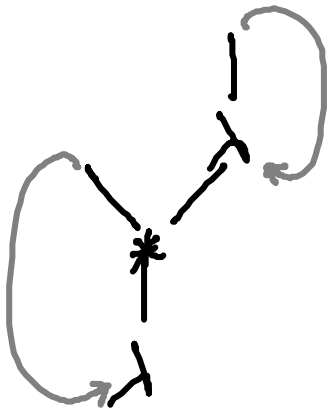
Example:

$$\frac{\frac{\overline{x \vdash v_x} \quad \overline{y \vdash v_y}}{x, y \vdash v_x * v_y} \quad \frac{\overline{a \vdash v_a} \quad \overline{b \vdash v_b}}{a, b \vdash v_a * v_b}}{x, y, a, b \vdash (v_x * v_y) * (v_a * v_b)}$$



Examples:

$$\begin{array}{c}
 \frac{\frac{}{x \vdash v_x} \quad \frac{}{y \vdash v_y}}{} \qquad \frac{\frac{}{a \vdash v_a} \quad \frac{}{b \vdash v_b}}{} \\
 \frac{x, y \vdash v_x * v_y \qquad a, b \vdash v_a * v_b}{} \\
 x, y, a, b \vdash (v_x * v_y) * (v_a * v_b)
 \end{array}$$



$$\begin{array}{c}
 \frac{}{x \vdash v_x} \qquad \frac{\frac{}{y \vdash v_y}}{\vdash \lambda_y(v_y)} \\
 \frac{x \vdash v_x \quad \vdash \lambda_y(v_y)}{} \\
 \frac{x \vdash v_x * \lambda_y(v_y)}{} \\
 \vdash \lambda_x(v_x * (\lambda_y(v_y)))
 \end{array}$$

Substitution / Partial Composition / Grafting

$$\sigma: \partial(T) \cdot T \rightarrow T, \quad T = \mu(R1 + F(-))$$

$$m+1 \vdash t, \quad n \vdash s \mapsto m+n \vdash \sigma(t, s)$$

Examples

- Binary trees: $F(x) = x \cdot x$
- Linear λ -Terms: $F(x) = x \cdot x + \partial(x)$.

Recursive Definition?

$$\varphi = [\epsilon, *] : R1 + T \cdot T \xrightarrow{\cong} T$$

$$\partial(\varphi^{-1}) \cdot \text{id}$$

$$\partial(\pi) \cdot T \xrightarrow{\cong} \partial(R1 + T \cdot T) \cdot T$$

$$\cong (R0 + \partial(\pi) \cdot T + T \cdot \partial(\pi)) \cdot T$$

$$\cong R0 \cdot T + \partial(\pi) \cdot T \cdot T + T \cdot \partial(\pi) \cdot T$$

σ

?

$$\downarrow [\cong, \sigma \cdot \text{id}, \text{id} \cdot \sigma]$$

T

$\leftarrow$

$$[\text{id}, *, *]$$

$$T + T \cdot T + T \cdot T$$

Change perspective ...

$$\begin{array}{ccc}
 \partial(\pi) \cdot T & \xrightleftharpoons[\partial(\varphi) \cdot \text{id}]{\partial(\varphi^{-1}) \cdot \text{id}} & \begin{aligned} &\partial(R1 + T \cdot T) \cdot T \\ &\cong (R0 + \partial(\pi) \cdot T + T \cdot \partial(\pi)) \cdot T \\ &\cong R0 \cdot T + \partial(\pi) \cdot T \cdot T + T \cdot \partial(\pi) \cdot T \end{aligned} \\
 \downarrow \sigma & & \downarrow [\cong, \sigma \cdot \text{id}, \text{id} \cdot \sigma] \\
 T & \xleftarrow{[\text{id}, *, *]} & T + T \cdot T + T \cdot T
 \end{array}$$

... look for an initiality property of $\partial(\varphi)$.
 It is available because ∂ is a left adjoint!

Generalised Recursion

[Bird & Peterson]

For a left adjoint L and a natural transformation

$$LG \xRightarrow{\ell} HL$$

if $\gamma: G(\mu G) \rightarrow G$ is an initial G -algebra Then

$$\begin{array}{ccccc} LG(\mu G) & \xrightarrow{\ell} & HL(\mu G) & \xrightarrow{H(\underline{\text{git}} \alpha)} & HA \\ L\gamma \downarrow \cong & & & & \downarrow \forall \alpha \\ L(\mu G) & \dashrightarrow & & & A \\ & \exists! \underline{\text{git}}(\alpha) & & & \end{array}$$

$$\underline{\text{git}}(\alpha) = \alpha \circ H(\underline{\text{git}} \alpha) \circ \ell \circ L(\gamma^{-1})$$

Thm: For $T = \mu(R_1 + F(-))$ The free F -algebra on R_1 , $\partial(T)$ is an initial algebra.

Idea: For $F(x) = x \cdot x + \partial(x)$,

$(T, \partial(T))$ is the initial solution to

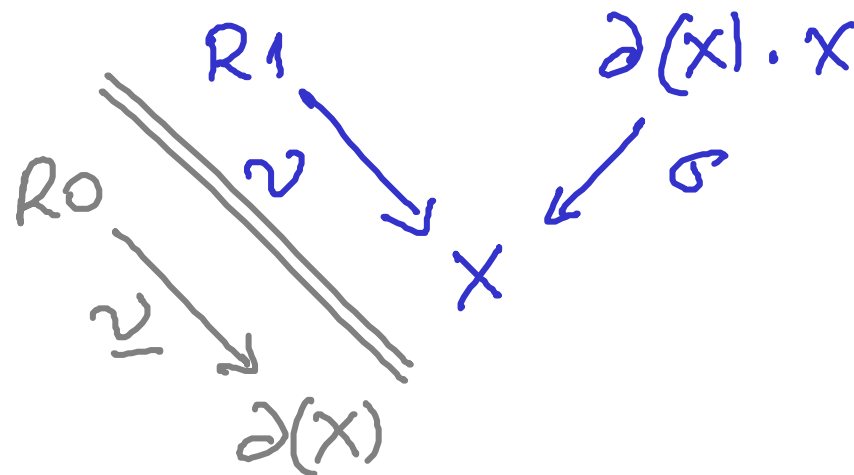
$$\begin{cases} X = R_1 + X \cdot X + \partial(X) \\ Y = R_0 + Y \cdot X + X \cdot Y + \partial(Y) \end{cases}$$

and

$\partial(T)$ is an initial $\mu(R_0 + (-) \cdot T + T \cdot (-) + \partial(-))$

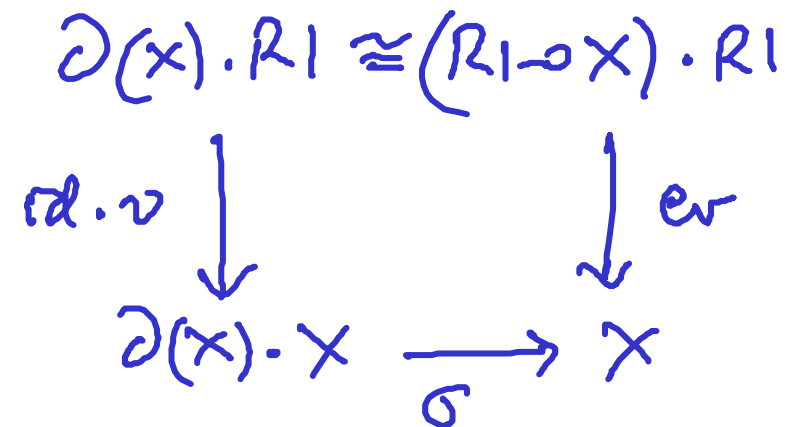
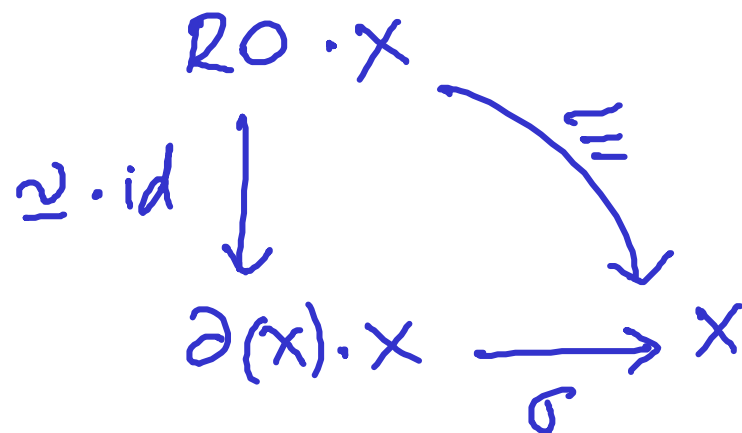
Substitution / Partial Composition is Algebraic Structure

Def: A linear substitution algebra is a structure



satisfying

neutral
element
laws



Associativity $\sigma(t, \sigma(u, v)) = \sigma(\sigma(t, u), v)$

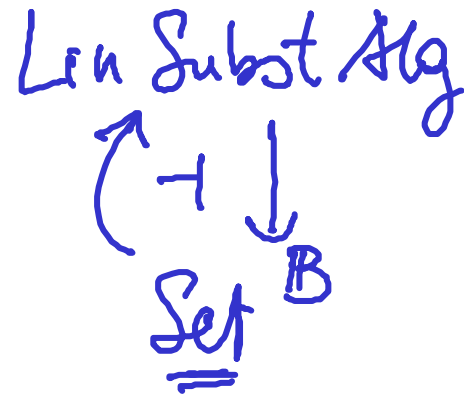
$$\begin{array}{ccc}
 \partial(x) \cdot \partial(x) \cdot x & \xrightarrow{\quad} & \partial(\partial(x) \cdot x) \cdot x \\
 \text{id} \cdot \sigma \downarrow & & \downarrow \partial(\sigma) \cdot \text{id} \\
 \partial(x) \cdot x & \xrightarrow[\sigma]{} x & \xleftarrow[\sigma]{} \partial(x) \cdot x
 \end{array}$$

Exchange $\sigma(\sigma(t, u), v) = \sigma(\sigma(\text{swap } t, v), u)$

$$\begin{array}{ccc}
 \partial(\partial x) \cdot x \cdot x & \xrightarrow{\quad} & \partial \partial(x) \cdot x \cdot x \\
 \downarrow & & \downarrow \\
 \partial(\partial(x) \cdot x) \cdot x & & \partial(\partial(x) \cdot x) \cdot x \\
 \text{id} \cdot \sigma \downarrow & & \downarrow \partial(\sigma) \cdot \text{id} \\
 \partial(x) \cdot x & \xrightarrow[\sigma]{} x & \xleftarrow[\sigma]{} \partial(x) \cdot x
 \end{array}$$

Thm: Linear substitution algebras are,
equivalently, symmetric operads

Thm: Free constructions



Linear Substitution Algebras with Algebraic Structure

Def: A linear substitution algebra with F -algebra structure consists of

$$\text{lin. subst. alg} \quad \begin{array}{c} \partial(x) \cdot x \\ \sigma \searrow \\ R1 \xrightarrow{\sim} x \end{array} \quad \begin{array}{c} Fx \\ \swarrow \\ x \end{array} \quad F\text{-alg.}$$

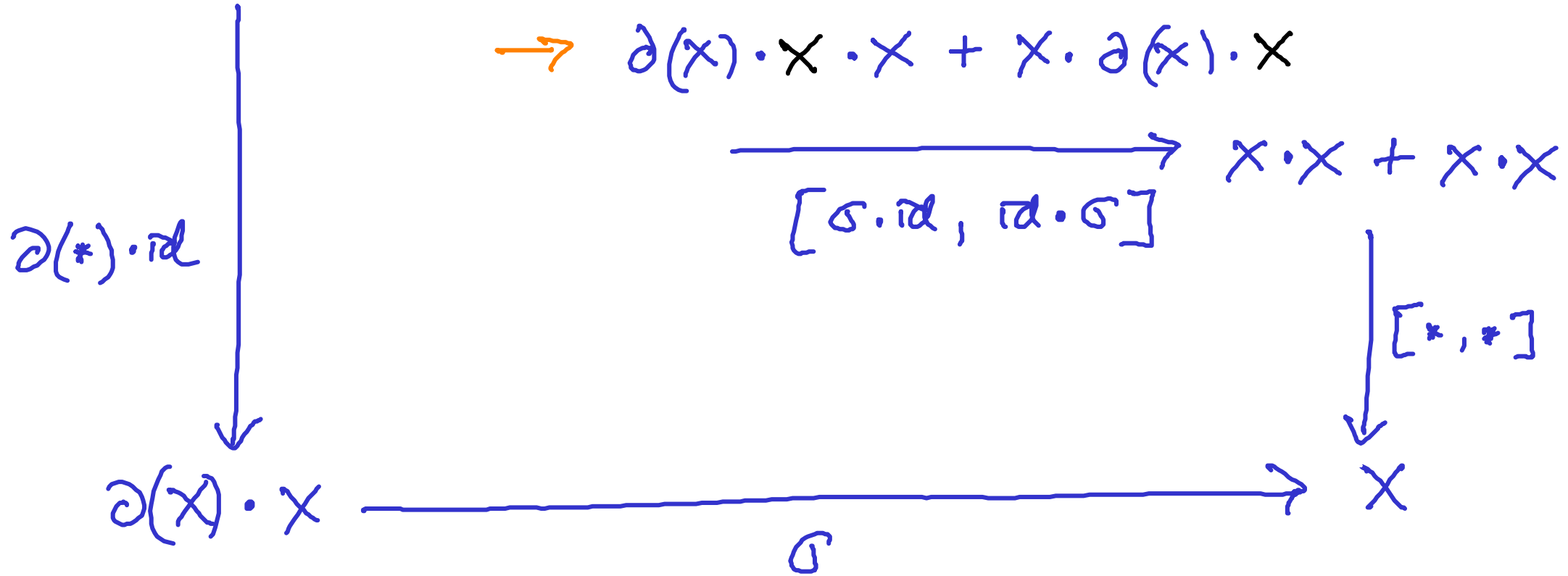
satisfying a compatibility condition

$$\begin{array}{ccccc} \partial(Fx) \cdot x & \xrightarrow{\quad} & \tilde{F}(\partial(x) \cdot x) & \xrightarrow{\tilde{F}(\sigma)} & \tilde{F}(x) \\ \partial(\varphi) \cdot \text{id} \downarrow & & & & \downarrow \tilde{\varphi} \\ \partial(x) \cdot x & \xrightarrow{\quad \sigma \quad} & & & x \end{array}$$

Example for a binary operation

$$\partial(x \cdot x) \cdot x \rightarrow (\partial(x) \cdot x + x \cdot \partial(x)) \cdot x$$

$$\rightarrow \partial(x) \cdot x \cdot x + x \cdot \partial(x) \cdot x$$



$$\sigma(m(t, s), u) = \begin{cases} m(\sigma(t, u), s) & \text{if pointing } t \\ m(t, \sigma(s, u)) & \text{if pointing } s \end{cases}$$

Thm: For $F(x) = \underbrace{x \cdot \dots \cdot x}_{n \text{ times}}$, a linear substitution algebra with F -algebraic structure is equivalently a symmetric operad with an n -ary operation.

- Given a binary operation $\frac{R2 \rightarrow X}{R0 \rightarrow \partial \partial X}$

we have

$$X \cdot X \cong R0 \cdot X \cdot X \rightarrow \partial^2(X) \cdot X \cdot X \rightarrow X$$

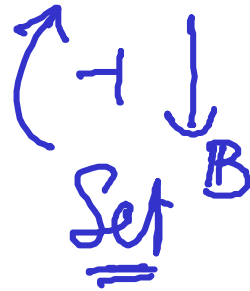
- Given $X \cdot X \rightarrow X$ we have

$$R(2) \cong R(1) \cdot R(1) \longrightarrow X \cdot X \longrightarrow X$$

Thm: Free constructions

[Freire & Rauchod]

$F\text{-LinSubstAlg}$



In particular, the free F -algebra on $R1$,

$T = \mu(R1 + F(-))$ is initial in $F\text{-LinSubstAlg}$ with substitution structure $\partial(T) \cdot T \rightarrow T$ given by generalized structural recursion as outlined.

Brief remarks on equational structure

- There is a general categorical theory of free constructions with equations. [Fiore & Hur]
- $$\begin{array}{ccc}
 X \cdot X \rightarrow X \leftarrow R0 & \text{vs} & X \times X \rightarrow X \leftarrow 1 \\
 \text{monoid} & & \text{monoid} \\
 \downarrow & & \downarrow \\
 \text{a monoid in species} & & \text{a species of monoids} \\
 \underline{\text{Mon.}}(\underline{\text{Set}}^B) & & \underline{\text{Mon}}^B
 \end{array}$$

The pre-Lie Product

Def: The pre-Lie product of species is

$$X * Y \stackrel{\text{def}}{=} \partial(X) \cdot Y$$

Pictorially:



- $(X * Y) * Z$ vs $X * (Y * Z)$?

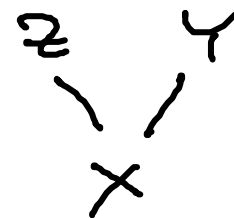
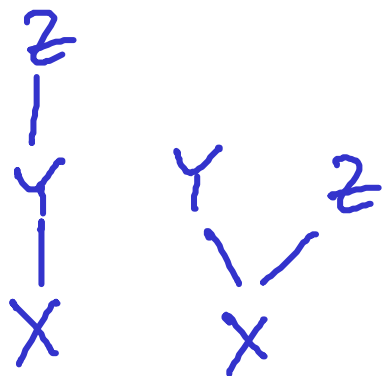
$$(X * Y) * Z$$

$$+ X * (Z * Y)$$

=

$$X * (Y * Z)$$

$$+ (X * Z) * Y$$



Combinatorial Interpretation of the pre-Lie identity

Thm: There is a canonical natural pre-Lie isomorphism

$$(X * Y) * Z + X * (Z * Y) \stackrel{\pi}{\cong} X * (Y * Z) + (X * Z) * Y$$

Def: A π -algebra is a species A equipped with a structure map $A * A \xrightarrow{\alpha} A$ compatible with π .

Combinatorial Interpretation of the pre-Lie identity

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$$(X * Y) * Z + X * (Z * Y) \stackrel{\pi}{\cong} X * (Y * Z) + (X * Z) * Y$$

Def: A π -algebra is a species A equipped with a structure map $A * A \xrightarrow{\alpha} A$ such that

$$(A * A) * A + A * (A * A) \stackrel{\pi}{\cong} A * (A * A) + (A * A) * A$$

$$\begin{array}{ccc} [\alpha * \text{id}, \text{id} * \alpha] \downarrow & & \downarrow [\text{id} * \alpha, \alpha * \text{id}] \\ A * A & \xrightarrow{\alpha} & A \quad \xleftarrow{\alpha} \quad A * A \end{array}$$

Def: A π -algebra is a species A equipped with a structure map $A * A \xrightarrow{\alpha} A$ s.t.

$$\begin{array}{ccc}
 (A * A) * A + A * (A * A) & \xrightarrow{\pi} & A * (A * A) + (A * A) * A \\
 \downarrow [\alpha * \text{id}, \text{id} * \alpha] & & \downarrow [\text{id} * \alpha, \alpha * \text{id}] \\
 A * A & \xrightarrow{\alpha} & A \longleftarrow_{\alpha} A * A
 \end{array}$$

Thm: π -algebras are equivalently non-unital symmetric operads.

Symmetric Matrices

Def: A symmetric matrix is an object of $\underline{\text{Set}}^{B \times B}$

Partial Derivatives

$$\partial_1(P)(m, n) =^{\text{def}} P(m+1, n)$$

$$\partial_2(P)(m, n) =^{\text{def}} P(m, n+1)$$

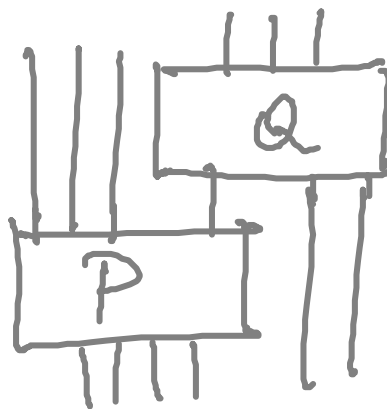
Lie-admissible Product

Def: $P * Q =_{\text{def}} \partial_1(P) \cdot \partial_2(Q)$

$$(P * Q)(m, n)$$

$$= \int^{m_1, m_2 \in \mathbb{B}^0, n_1, n_2 \in \mathbb{B}} P(m_1 + 1, n_1) * Q(m_2, n_2 + 1) \\ * \mathbb{B}(m, m_1 + m_2) * \mathbb{B}(n_1 + n_2, n)$$

Pictorially,



or $\begin{array}{c} Q \\ \diagdown \\ P \end{array}$

$P(QR)$

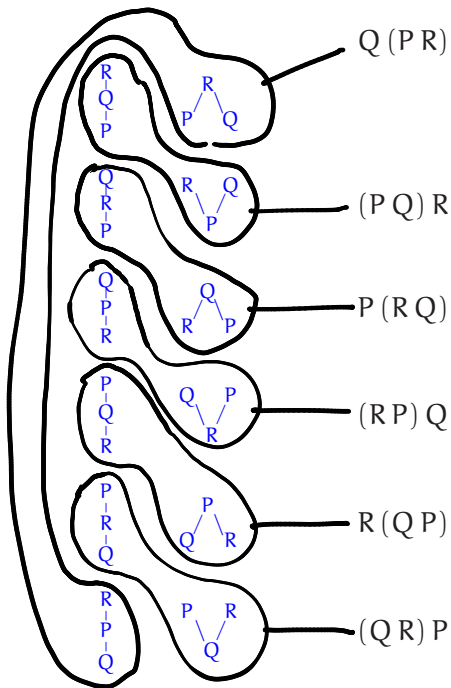
$(PR)Q$

$R(PQ)$

$(RQ)P$

$Q(RP)$

$(QP)R$



Combinatorial Interpretation of the Lie-admissible identity

Thm: There is a canonical Lie-admissible isomorphism

$$F(P, Q, R) \stackrel{f}{\cong} G(P, Q, R)$$

for

$$\begin{aligned} F(P, Q, R) = & P * (Q * R) + (P * R) * Q + R * (P * Q) \\ & + (R * Q) * P + Q * (R * P) + (Q * P) * R \end{aligned}$$

and

$$\begin{aligned} G(P, Q, R) = & (P * Q) * R + Q * (P * R) + (Q * R) * P \\ & + R * (Q * P) + (R * P) * Q + P * (R * Q) \end{aligned}$$

Def: A $\mathcal{P}$ -algebra is a symmetric matrix P equipped with a structure map $P * P \rightarrow P$ compatible with $\mathcal{P}$; that is,

$$\begin{array}{ccc}
 F(P, P, P) & \overset{\mathcal{P}}{\cong} & G(P, P, P) \\
 \downarrow & & \downarrow \\
 P * P & \longrightarrow P \longleftarrow & P * P
 \end{array}$$

Thm: $\mathcal{P}$ -algebras are equivalently non-unital symmetric dioperads (one-object polycategories).